

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Applicant(s): **Taylor et al.**                      Case:        **DIVA/146CIP1CON1**  
Serial No.:        **Not yet assigned**              Filed:        **Herewith**  
Group Art Unit: **Not yet assigned**              Examiner: **Not yet assigned**  
Title:                      **MODULAR STORAGE SERVER ARCHITECTURE WITH DYNAMIC  
DATA MANAGEMENT**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:  
                    **INFORMATION DISCLOSURE STATEMENT UNDER**  
                    **37 C.F.R. 1.97 AND 1.98**

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. Pursuant to 37 C.F.R. 1.98(d), these documents were previously cited by or submitted with a Disclosure Statement in a prior application that is relied upon by the above-identified continuation application for an earlier filing date under 35 U.S.C. §120. As such, the documents may be found in the file wrapper of U.S. patent application serial number **09/854,839**, filed on **May 14, 2001** and **serial number 09/283,895**, filed **April 1, 1999** (now U.S. Patent No. **6,233,607**).

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-0782.

Respectfully submitted,

10/28/03  
Date

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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		DIVA/146CIP1C1	Not yet assigned
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant:	Confirmation No.:
<b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b>		Taylor	Not yet assigned
(Use several sheets if necessary)		Filing Date	Group
	Examiner Not yet assigned	Herewith	Not yet assigned

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	6,535,920	03-2003	Parry et al.	709	231	
	A2	6,314,466	11-2001	Agrawal et al.	709	231	
	A3	6,314,456	11-2001	Van Andel et al.	709	218	
	A4	6,223,206	04-2001	Dan et al.	709	105	
	A5	6,178,460	01-2001	Maddalozzo, Jr., et al.	709	239	
	A6	6,151,634	11-2000	Glaser et al.	709	236	
	A7	6,112,239	08-2000	Kenner et al.	709	224	
	A8	6,092,178	07-2000	Jindal et al.	712	27	
	A9	6,061,504	05-2000	Tzelnic et al.	395	200.49	
	A10	6,047,323	04-2000	Krause	709	227	
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	A12	6,028,725	02-2000	Blumenau	360	8	
	A13	6,014,706	01-2000	Cannon et al.	709	231	
	A14	6,006,264	12-1999	Colby et al.	709	226	
	A15	5,996,015	11-1999	Day et al.	709	226	
	A16	5,951,694	09-1999	Choquier et al.	714	14	
	A17	5,935,206	08-1999	Dixson et al.	709	219	

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
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	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office					Docket No.		Serial No.	
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(Use several sheets if necessary)					Filing Date		Group	
Examiner      Not yet assigned					Herewith		Not yet assigned	
<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
	A18	5,933,603	08-1999	Vahalia et al.	395	200.55		
	A19	5,920,700	07-1999	Gordon et al.	709	226		
	A20	5,918,213	06-1999	Bernard et al.	705	26		
	A21	5,915,094	06-1999	Kouloheris et al.	709	231		
	A22	5,913,039	06-1999	Nakamura et al.	709	231		
	A23	5,892,913	04-1999	Adiga et al.	709	219		
	A24	5,864,682	01-1999	Porter et al.	709	247		
	A25	5,671,377	09-1997	Bleidt et al.	395	328		
	A26	5,473,362	12-1995	Fitzgerald et al.	348	7		
	A27	5,148,432	09-1992	Gordon et al.	371	10.1		
	A28							
	A29							
<b>F reign Patent Documents</b>								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
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	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER ART</b>								
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